

Contents

Preface	VII
Acknowledgments	IX
1 Introduction	1
1.1 Exordium	1
1.2 Historical Background	3
1.3 Characteristic Frequencies	6
1.4 Outline of Contents	10
Chapter 1 References	12
2 Theoretical Models of Intense Nonneutral Particle Beams	23
2.1 Introduction	23
2.2 Vlasov-Maxwell Description	26
2.3 Macroscopic Fluid Description	32
2.4 Conservation Relations	36
2.5 Discrete Particle Effects	41
Chapter 2 References	46
3 Particle Orbits in Periodic Focusing Field Configurations	51
3.1 Introduction	51
3.2 Periodic Focusing Field Configurations	54
3.3 Single-Particle Motion	66
3.3.1 Hamilton's Equations of Motion	67

3.3.2	Orbit Equations in the Paraxial Approximation	69
3.3.3	Transverse Orbit Equations for a Continuous Beam	75
3.4	Orbit and Envelope Equations for a Continuous Beam	79
3.4.1	Self-Field Potential for a Uniform Density Beam	79
3.4.2	Periodic Focusing Quadrupole Field	82
3.4.3	Periodic Focusing Solenoidal Field	90
3.4.4	Uniform Focusing Field	98
3.5	Smooth Focusing Approximation	103
3.6	Hamiltonian for Transverse Particle Motion	113
3.7	Matrix Transfer Formalism and Phase Advance	116
3.8	Orbit and Envelope Equations for a Finite-Length Charge Bunch	128
	Chapter 3 References	140
4	Nonlinear Kinetic Stability Theorem	145
4.1	Introduction	145
4.2	Nonlinear Vlasov-Maxwell Equations in the Beam Frame	148
4.3	Global Nonlinear Conservation Constraints	154
4.4	Three-Dimensional Kinetic Stability Theorem	159
4.5	State of Minimum Helmholtz Free Energy	166
	Chapter 4 References	173
5	Vlasov-Maxwell Description of Periodically-Focused Intense Beam Equilibria	177
5.1	Introduction	177
5.1.1	Theoretical Model	178
5.1.2	Focusing Field Configurations	181
5.2	Nonlinear Vlasov Equation and Hamilton's Equations of Motion	184
5.2.1	Conventional Hamiltonian Formulation	185
5.2.2	Alternative Hamiltonian Formulation	189
5.3	Intense Beam Equilibria in a Uniform Focusing Field .	196
5.3.1	Isotropic Beam Equilibria	198

5.3.2	Anisotropic Beam Equilibria	210
5.4	Intense Beam Equilibria in an Alternating-Gradient Quadrupole Field	222
5.4.1	Nonlinear Vlasov-Maxwell Equations	222
5.4.2	Periodically-Focused Beam Equilibria at Low Beam Intensity	224
5.4.3	Periodically-Focused Kapchinskij-Vladimirskij (KV) Intense Beam Equilibrium	234
5.5	Intense Beam Equilibria in a Periodic-Focusing Solenoidal Field	242
5.5.1	Nonlinear Vlasov-Maxwell Equations	242
5.5.2	Canonical Transformation to Larmor-Frame Variables	244
5.5.3	Periodically-Focused Intense Beam Equilibria .	246
	Chapter 5 References	253
6	Statistically-Averaged Rate Equations	257
6.1	Introduction and Theoretical Model	257
6.1.1	Alternating-Gradient Quadrupole Field	259
6.1.2	Periodic Focusing Solenoidal Field	261
6.2	Rate Equations for Solenoidal Focusing Field	263
6.2.1	General Rate Equation	264
6.2.2	Conservation of Canonical Angular Momentum	265
6.2.3	Centroid Motion	267
6.2.4	Global Energy Balance	268
6.2.5	Rate Equation for Mean-Square Beam Radius .	271
6.2.6	Coupled Rate Equations for RMS Beam Radius and Transverse Emittance	273
6.3	Application of Rate Equations for a Solenoidal Focusing Field	276
6.3.1	Rate Equations for Kapchinskij-Vladimirskij Beam Distribution	276
6.3.2	Rate Equations for Beam Distributions with Fixed-Shaped Density Profile	279
6.4	Rate Equations for Quadrupole Focusing Field	283

6.4.1	General Rate Equation	284
6.4.2	Rate Equations for Average x - and y - Kinetic Energies	286
6.4.3	Rate Equations for Mean-Square Transverse Beam Dimensions	287
6.4.4	Coupled Rate Equations for Transverse Emittances and RMS Beam Dimensions	287
6.5	Rate Equations for Fixed-Shape Density Profile	290
	Chapter 6 References	296
7	Hamiltonian Averaging Techniques Applied to the Nonlinear Vlasov-Maxwell Equations	301
7.1	Introduction and Theoretical Model	301
7.2	Canonical Transformation to Slow Variables	307
7.2.1	Canonical Transformation	308
7.2.2	Third-Order Transformed Hamiltonian and Coordinate Transformation	319
7.3	Nonlinear Vlasov-Maxwell Equations in the Transformed Variables	323
7.3.1	Transformed Vlasov-Maxwell Equations	323
7.3.2	Equilibrium Properties ($\partial/\partial s = 0$) in the Transformed Variables	325
7.3.3	Density Inversion Theorem	331
7.3.4	Kinetic Stability Theorem	332
7.3.5	Examples of Self-Consistent Beam Equilibria	333
7.4	Periodically-Focused Beam Properties in the Laboratory Frame	337
7.4.1	Laboratory-Frame Distribution Function	338
7.4.2	Statistical Averages in the Laboratory Frame	340
7.4.3	Macroscopic Profiles in the Laboratory Frame	343
7.4.4	Range of Validity of Asymptotic Expansion Procedure	346
7.5	Conclusions	347
	Chapter 7 References	349

8	Kinetic Stability Properties and Collective Oscillations in Intense Particle Beams	353
8.1	Introduction	353
8.2	Assumptions and Theoretical Model	355
8.3	Linearized Vlasov-Maxwell Equations and the Method of Characteristics	358
8.4	Stability Properties for Perturbations About a Kapchinskij-Vladimirskij Beam Equilibrium	365
8.4.1	Eigenvalue Equation for $k_z = 0$	365
8.4.2	Stable Surface-Wave Oscillations for $\partial/\partial z = 0$ and $\partial/\partial\theta \neq 0$	371
8.4.3	Stability Properties for Axisymmetric Body-Wave Perturbations with $\partial/\partial z = 0$ and $\partial/\partial\theta = 0$	376
8.5	Nonlinear Perturbative Particle Simulation Method for Describing Collective Processes	383
8.6	Stability Properties for Perturbations about a Thermal Equilibrium Beam	389
8.6.1	Validation of Kinetic Stability Theorem	390
8.6.2	Normal-Mode Oscillations Determined from δf Method for $\partial/\partial\theta = 0 = \partial/\partial z$	398
	Chapter 8 References	401
9	Warm-Fluid Stability Properties and Collective Oscillations in Intense Particle Beams	407
9.1	Introduction	407
9.2	Assumptions and Macroscopic Warm-Fluid Model	409
9.3	Macroscopic Equilibrium Properties	414
9.3.1	Equilibrium Force Balance	414
9.3.2	Warm-Fluid Thermal Equilibrium	415
9.3.3	Warm-Fluid Kapchinskij-Vladimirskij (KV) Equilibrium	416
9.3.4	Warm-Fluid Waterbag Equilibrium	418
9.3.5	Cold-Fluid Equilibrium	420
9.4	Linearized Fluid-Maxwell Equations	421

9.5	Cold-Beam Eigenvalue Equation and Collective Oscillations	423
9.6	Warm-Beam Stability Properties for Axisymmetric Flute Perturbations with $\partial/\partial\theta = 0 = \partial/\partial z$	432
9.6.1	Warm-Beam Eigenvalue Equation	432
9.6.2	Stability Properties for Perturbations about a Warm-Beam Kapchinskij-Vladimirskij Equilibrium	435
9.6.3	Stability Properties for Perturbations about the Warm-Beam Waterbag Equilibrium	440
9.7	Collective Oscillations and Instability Driven by Pressure Anisotropy	448
9.7.1	Eigenvalue Equation for Perturbations about a Warm-Fluid Waterbag Equilibrium	448
9.7.2	Stability Properties for $\hat{T}_{\parallel b} = 0$ and $\partial/\partial\theta = 0$	451
	Chapter 9 References	460
10	Special Topics on Intense Beam Propagation	465
10.1	Introduction	465
10.2	Intense Beam Propagation Through Background Plasma	466
10.2.1	Self-Pinched Beam Propagation — The Bennett Pinch	466
10.2.2	Alfvén-Lawson Limiting Current	470
10.2.3	The Plasma Lens Effect	473
10.3	Collisionless Damping (Growth) of Collective Excitations	478
10.3.1	The Landau Dielectric Function	479
10.3.2	Kinetic Dispersion Relation for a Multi-component Plasma	485
10.3.3	Landau Damping of Electron Plasma Oscillations	495
10.3.4	Collisionless Damping of Dipole-Mode Sideband Oscillations in Intense Particle Beams	499

10.4 Electron-Ion Two-Stream Instability in Intense Particle Beams	503
10.4.1 Kinetic Dispersion Relation	504
10.4.2 Nonlinear δf Simulations of the Electron-Proton Two-Stream Instability	515
10.5 Paul Trap Simulator of Intense Beam Propagation . .	522
10.6 Halo Particle Production by Collective Mode Excitations	531
10.7 Probing the Beam Microstate	541
10.7.1 The Density Inversion Theorem	542
10.7.2 Collective Excitations	544
10.7.3 Beam Echoes	548
Chapter 10 References	555
Supplementary References	563
Subject Index	577